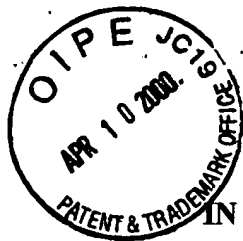


AB



EXPRESS MAIL LABEL NO. EK 444 149 125 US
ATTORNEY'S DOCKET: ISM/012

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ADNAN SHENNIB, ET AL.

Serial No.: 09/475,923

Filed: December 30, 1999

Group Art Unit 2743

Examiner: _____

Title: DIRECT TYMPANIC DRIVE VIA A FLOATING FILAMENT ASSEMBLY

EXPRESS MAIL CERTIFICATE

Express Mail Label No. **EK 444 149 125 US**

Date of Deposit: **April 9, 2000**

I hereby certify that the following attached papers:

- (1) Document Transmittal (including fee calculation);
- (2) Executed Combined Declaration and Power of Attorney;
- (3) Executed Assignment and Recordation Form;
- (4) Executed Small Entity Verification - Small Business Concern (Assignee);
- (5) Copy of Notice to File Missing Parts;
- (6) Check to Commissioner for \$1,273.00, with request for refund of excess \$40.00; and
- (7) Postcard (stamped, addressed) for acknowledging receipt.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231, Attention: Box Missing Parts.

Donald R. Greene
P.O. Box 12995
Scottsdale, AZ 85267-2995
Tel: (480) 488-9895



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DOCUMENT TRANSMITTAL

1. Transmitted herewith is a response to the Notice to File Missing Parts of Application (Form PTO-1533), dated 02/10/00, for this application. A copy of the Notice is enclosed herewith.
2. Also enclosed is a Combined Declaration and Power of Attorney executed by the inventors.
3. Also enclosed are an Assignment executed by the inventors together with a recordation form therefor, and a verified statement claiming small entity status executed by the assignee.

FEE CALCULATION (Small Entity)						
	Number of Claims			Number extra	Rate (Small Entity)	Basic Fee
Total Claims	94	- 20	=	74	x \$9.00	= \$345.00
Indep Claims	6	- 3	=	0	x \$39.00	= <u>117.00</u>
TOTAL FEE (Basic + Extra Claims)						\$1,128.00

4. This reply to the Notice to File Missing Parts is timely, so no extension of time is required. In addition to the total filing fee of \$1,128.00 for the basic fee and claims fee, a late surcharge (small entity) of \$65.00 and assignment recordation fee of \$40.00 are included in the total fee \$1,233.00 by enclosed check of the assignee to the Commissioner. It is requested that the additional \$40.00 in the check total of \$1,273.00, which was included in the mistaken belief that two assignment documents were to be recorded, be refunded to the undersigned attorney.

By

Donald R. Greene, Reg. No. 22,470

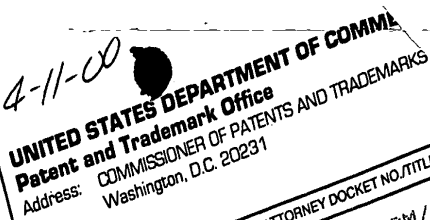
P.O. Box 12995

Scottsdale, AZ 85267-2995

Telephone: (480) 488-9895

Date: 04/08/2000

DRG/TSMDOCM.012/04080



FIRST NAMED APPLICANT

ATTORNEY DOCKET NO./TITLE

ISM/012

TRADE
APPLICATION NUMBER
09/475,923

12/30/99

SHENNIB

0222/0210

2743

02/10/00

DATE MAILED:

DONALD R GREENE
P O BOX 12995
SCOTTSDALE AZ 85267-2995

NOTICE TO FILE MISSING PARTS OF APPLICATION

DONALD R GREENE
P O BOX 12995
SCOTTSDALE AZ 85267-2995

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$55.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

Required items on this form are filed within the period set above, the total amount owed by applicant as a statement filed) ☒ non-small entity is \$ 2386

To complete the basic filing fee and/or file a small entity statement

44/137200-1000000 0000000 0000000
FEB 2011
2011

☐ If all required items on the
☐ small entity (statement filed)
statutory basic filing fee is:

☒ 1. The statutory
☒ missing.
☐ insufficient.

☒ missing.
☐ insufficient.

☒ missing.
☐ insufficient.
Applicant must submit \$ 610
Applicant must submit (37 CFR 1.27).
Additional claims fees 14

1. The status of the claim is:
☐ missing.
☐ insufficient.
 Applicant must submit \$ 600 (37 CFR 1.27).
 claiming such status (37 CFR 1.27).
 The following additional claims fees are due:
 total claims over 20.
 independent claims over 3.
 2. The following additional claims fees are due:
 total claims over 20.
 independent claims over 3.
 dependent claim surcharge.
 additional claim fees or cancel a

the following for _____
\$ 1332 for _____
\$ 234 for 3
for multiple
submissions

The following additional claim fees are payable for independent
 \$ 1332 for 74
 \$ 234 for 3
 for multiple dependent claim surcharge.
 Other submit the additional claim fees or cancel as

\$ _____ Applicant must either sign or declaration:
 _____ unsigned. _____ submitted items.
 _____ with 37 (_____ Date _____

☒ 3. ☒ The oath or declaration: is missing or unsigned.

The oath or declaration:
☐ is missing or unsigned.
☒ does not cover the newly submitted items.
 An oath or declaration in compliance with 37 CFR 1.63, including the above Application Number and Filing Date is required.
 The signature(s) to the oath or declaration is/are by a person who is not the inventor(s) or the attorney-in-fact.
 The oath or declaration is not in compliance with 37 CFR 1.63, including the above Application Number and Filing Date is required.
 The oath or declaration is missing.

☐ 4. The signature is 1.43 or 1.47.

The signature(s) to the
1.43 or 1.47.
A properly signed oath or declaration in
Application Number and Filing Date, is required.
of the following joint inventor(s) is

[illegible]

Fee is required since your check was returned

☐ **6. A \$50.00 processing fee is required since:**

Your filing receipt was mailed in error because your disclosure was not in English. Your filing receipt was filed in a language other than English. Your filing receipt was a verified English translation of the application. Your filing receipt was a statement that the translation was accurate.

☐ 6. A \$50.00 processing fee was mailed in _____.

☐ 7. Your filing receipt was filed in a language other than English. You must file a verified English translation of the application, the abstract, and a statement that the translation is a true and correct translation of the original. Send the translation to "Attention: Box Missing Parts."

8. The application must be submitted and
Refund Ref: 0000093047
05/02/2008 MAILING OTHER: transquestion

☐ 9. OTHER _____

☐ 9. OTHER: _____

A copy of this notice MUST be returned with the reply.

Customer Service Center
Patent Examination Division (703) 308-1202

202

COPY TO BE RETURNED WITH RESPONSE

adjustment date: 05/02/2000
MICHAEL 00000006
unless
K1002116
09/13/93
-40.00.00
(m)

CRD 1999 450-5875